EXHIBIT C

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PROCEEDINGS

(The following telephone conference was held in chamber beginning at 4:00 p.m.)

THE COURT: All right. Good afternoon, counsel.

Can I have a roll call? It is the defendant's motion, so I will start with the defendant.

MS. JACOBS: Good afternoon, Your Honor. This is Karen Jacobs from Morris Nichols for Motorola Mobility and I have on the line with me Martha Snyder from Quarles & Brady.

THE COURT: All right. Thank you. And then for the plaintiffs?

MR. O'KELLY: Good afternoon, Your Honor. This is Sean O'Kelly from O'Kelly & Ernst for Uniloc.

With me on the phone is Jim Foster and Aaron Jacobs, both from Prince Lobel Tye.

THE COURT: All right. Great.

All right. Defendant, it's your motion.

MS. SNYDER: Thank you, Your Honor. This is Martha Snyder from Quarles & Brady.

This is a motion -- our motion is a motion to dismiss based on the named plaintiffs' lack of constitutional standing. Because of the time of the

complaint that was filed in November 2017, our position is that plaintiff could not have suffered an injury in fact from Motorola's alleged infringement.

We are in the submission today based on an issue of Uniloc's own making. Uniloc's Luxembourg Uniloc S.A. are entities that are in the position of obtaining, enforcing and licensing patents. They are not a manufacturing company that sometimes obtains and enforces a patent much to the distraction of the core business.

Now, Uniloc entities are in the business of patents, and they are one of the more prolific patent enforcement shops. So when Uniloc Lux entered into a revenue sharing agreement in exchange for significant investment from Fortress that included a provision allowing Fortress to grant sublicenses at will once triggered by an event of default, Uniloc Lux knew what it was doing.

When Uniloc USA express consent in the patent license agreement with Fortress back in December 2014 acknowledging the relationship between the patent license agreement and Uniloc USA's purported exclusive license from Uniloc Lux, Uniloc USA also knew what it was doing.

Similarly, the events of default at play -excuse me. The events of default at play, events of default
at play here, each of which allowed Fortress to exercise its
own set of rights to grant sublicenses are not nuanced.

March 31st, 2017, Uniloc was required to hit a revenue minimum. They did not. On June 30th, 2017, Uniloc was required to hit a revenue minimum. They did not.

At the time the revenue sharing agreement was signed on December 30th, 2014, Uniloc represented that its patents, which served as the whole collateral to the agreement, were valid and enforceable and not subject to challenges to that validity. This representation was not true. It was not accurate, I should say.

When the parties amended the revenue sharing agreement on May 15, 2017, Uniloc again represented that its patents were valid and enforceable and not subject to challenges to that validity. That again was not accurate.

And these representations were material.

Uniloc's business model and thus its revenue is based on enforcing licensing patents -- excuse me.

Enforcing and licensing patents. Without valid patents, its revenue stream dries up as do the recurrence of Fortress.

In that May 15, 2017 amendment, Uniloc also represented that it had not provided licenses or covenants to sue outside of those identified in list of existing licenses. This also is not accurate. Based on public records, we have been able to identify at least six parties who entered into stipulations for dismissal with prejudice with Uniloc Lux and Uniloc USA who were not identified in

the existing license. Any bar to Fortress' right to sublicense was lifted. As an initial matter, there's nothing in the patent license agreement that states that Fortress' sublicensing rights turned off, so to speak, if all of the triggers events of default happened properly later here. However, even if we give Uniloo the benefit of the doubt that Fortress' sublicensing rights could be turned on and off depending on when Uniloo was in default and when it wasn't, Uniloo has not shown that it met the express requirement of the revenue sharing agreement to "annul" the events of default identified by Motorola.

Uniloc does not contend that any events of default were waived in writing. Uniloc does not contend that a subsequent amendment included express terms secure the effect of default. At most, Uniloc submits a declaration from a Fortress employee who did not profess to have a firsthand contemporaneous knowledge of the facts and who stands to benefit from Uniloc winning its argument, that the act of Fortress continuing to invest in Uniloc indicates that they didn't have a problem with Uniloc's conduct and/or considered any these of secured.

First, whether Fortress had a problem with
Uniloc's performance is not the same inquiry as to whether
Uniloc committed a breach of the terms. Fortress' decision
not to, for example, terminate the agreement because on the

whole, Uniloc performance was good enough is a business decision and is not the same inquiry as to whether it relinquished the right to grant a sublicense as of the time the dispute was filed just as whether Fortress decided to exercise its right to sublicense in an effort to protect its collateral is not the same inquiry as to whether it had a right to sublicense other parties, including Motorola.

Second, the May 15, 2017 amendment cannot operate to secure Uniloc's events of default. Under New York law, secure is to (inaudible) those legal defects or to correct legal errors. To waive is to intentionally relinquish known rights.

The subsequent investment cannot be said to have corrected the legal defects of Uniloc's failure to meet its revenue minimum on March 31st, 2017, or failing to disclose that its patents were under attack as of the December 2014 agreement. And the revenue sharing agreement at Section 9.4.2 expressly states that the parties' force of conduct cannot operate the waiver. Moreover, while Mr. Komer professes that Fortress' views of the May 15, 2017 agreement is wiping the slate clean, he does not address the fact that Uniloc failed to meet the revenue minimum as of June 30, 2013.

At the time the case was filed, Fortress could have granted a royalty-free sublicense to Motorola out from

under Uniloc. Whether they would have is irrelevant here, because the contractual rights establish that plaintiffs could not have suffered injury in fact, which by the way is their burden to prove.

While Uniloc put up its best effort to prevent any defendant, Motorola included, from discovering that Uniloc's standing suffered from these fatal flaws --

THE COURT: You know what I want you to do? I want you to go through two things.

MS. SNYDER: Yes.

THE COURT: So let's just assume for argument's sake, and I'm going to ask you to spell it out, but basically you're alleging that they didn't act in good faith in this litigation in terms of their discovery disclosures about standing, right, because they basically had all of this information made available to them or they knew they had this information. It was relevant to the issues based on the Apple case and they basically hid it from you all. Right?

Is that kind of a summary of your position?

MS. SNYDER: That's -- that is, yes, that's fair.

THE COURT: Okay. So, and I mean, feel free to, you know, tell me I'm not right. I'm just trying to kind of cut, you know, to the nub here.

So the first question I have is: So let's just assume for argument's sake that I'm very troubled by the fact that, you know, they're in front of Judge Alsop. They know this is the issue and they are not complying. They are not complying with discovery responses to produce what clearly is relevant, and we know that they had it because of the California case.

Why is that relevant as a legal matter? And tell me, you know -- well, just be very precise. Tell me why it's relevant for a legal matter in terms of the issues before me.

MS. SNYDER: So it's relevant as a legal matter as to the question of whether the dismissal should be with or without prejudice. It's not relevant to the question of constitutional standing.

That is a question that stands on its own. It's just a matter of their litigation conduct and how egregious it is such that the Court, Your Honor, could exercise its discretion in dismissing with prejudice in this case.

THE COURT: Is it relevant to the Rule 25(c) question? All right. Are you looking up Wright & Miller or something right now?

MS. SNYDER: Is it relevant? I'm sorry. I'm pondering that. Is it relevant to the 25(c) question?

THE COURT: Well, let's step back. What is the

standard for me deciding whether I should grant a motion pursuant to 25(c)?

MS. SNYDER: So a motion to substitute is whether or not there is a proper, I mean, there's a discretionary component of it, but it's also a question of whether or not they are the proper party.

THE COURT: So what am I supposed to consider in the discretionary component?

MS. SNYDER: Whether or not it would be efficient, whether it would be efficient and/or prejudicial to defendants in that case and whether the substitution would be futile.

THE COURT: So is their behavior relevant to exercising discretion under 25(c)?

MS. SNYDER: It's relevant as to the ongoing prejudice to Motorola. The prejudice to Motorola has been that we've -- I mean, they concealed discovery for months. We had to take discovery of plaintiffs that they now say are irrelevant. They hid the fact that the plaintiff that they claim is the actual relevant plaintiff was not responding to discovery, was not subject to discovery, and so there has been ongoing prejudice.

THE COURT: And is that relevant to the inquiry under 25(c)?

MS. SNYDER: I mean, it's relevant -- I'm sorry.

Go ahead.

THE COURT: No. You go ahead.

MS. SNYDER: I was going to say it's relevant into the effect that they can't show good -- I mean, for one thing, they can't show good cause for having waited this long. They knew as well in advance of the time that was set by the Court to add additional parties that Uniloc 2017 was held right from the patent. They completely disregarded that deadline and no ability to show good cause as to why they would move at this late stage.

THE COURT: All right. Anything else?

MS. SNYDER: And, Your Honor, just to be clear, I mean, I understand that you're asking about the 25(c) motion, but obviously, they, with respect to our argument that they are, that plaintiffs are not, don't have constitutional standing in the first instance, adding Uniloc 2017 is not going to cure that at this stage, and so I wanted to be clear that we're talking about that as well.

THE COURT: Okay. So if I agree with you that there was no cure and I agree with you that Fortress had a license, then is anybody, or was anybody able to sue for patent infringement? Did anybody have standing at the time this suit was filed?

MS. SNYDER: At the time this suit was filed,

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no, no one had standing, constitutional standing to sue for patent infringement because the right for Fortress to sublicense undermined, sufficiently undermined the exclusionary right of Uniloc's Lux USA.

THE COURT: Are you troubled by that fact? I mean, you are saying people can relinquish and I guess make a nullity essentially by accident, a right to enforce a patent?

MS. SNYDER: So, Your Honor, I will be honest and that was part of in my opening remark why I made the distinction here that Uniloc is a patent enforcement entity. If it were entirely an accident by an unsophisticated party, would I be troubled? Possibly. This is not that case. it's the law, and I know that the law, the Federal Circuit cases have thus far primarily dealt with instances where there has been a patent owner and a licensee and we're looking at the exchange of rights between those two entities, but with respect to whether we introduce the third party, the third party absolutely undermines their claim to an injury in fact. And I understand why that could be arguably concerning, but it is, it's the law in terms of being able to establish a constitutional right to bring enforcement actions. They simply didn't have an in injury in fact and maybe they didn't appreciate the consequences of what they were doing, but they knew the actions that they

were taking.

There's still -- obviously, there's still a benefit to being a patent owner.

THE COURT: What's that?

MS. SNYDER: A benefit to being a patent owner is for a time period you can't enforce.

THE COURT: Right.

MS. SNYDER: Is that the question?

THE COURT: Yes.

MS. SNYDER: Okay. So I mean there's a benefit to being a patent owner being able -- you know, not being sued by others. There's a benefit to a patent owner for the fact that you can cure that situation. No, you can't cure once you've actually filed suit, but you can dismiss if you file suit, fix the contractual arrangement that you have created and then refile, or before you file, you can make sure that all of the rights are in line and then file.

THE COURT: Okay.

MS. SNYDER: As the patent owner, you have that, that ability. The patent owner has the ability to choose to give up the rights it has, you know. Elon Musk, he's a patent owner. He continues to get patents and moved the, moved the innovation forward and then he gifts those patents to the public domain.

The patent attorney is in charge of its own

rights and has the ability to contractually agree to transfer or otherwise give out the bundle of six as it pleases, but it will suffer the consequences of not doing that judiciously and carefully.

THE COURT: Okay. And you've not requested further discovery or depositions of a declarant from Fortress or anything like that. I take it you don't think any more information is necessary for me to have to make this decision. Is that right?

MS. SNYDER: I certainly believe that you can make the decision on the papers. I will ask that, you know, similar to what is happening in the Northern District of California, if you were inclined to credit Mr. Palmer's testimony in ruling against Motorola, I would ask to be given the opportunity to depose Mr. Palmer and take further discovery. For example, while I don't know the substance of the deposition because it's under seal in that case, my understanding is that Mr. Palmer was recently deposed, and so at the very least, I would, of course, be interested in what he had to say.

THE COURT: But on that question, so wait. Was he deposed on that question? I mean, Judge Alsop already made the decision in that case. Right?

MS. SNYDER: So there's some nuance. So there are a number of cases, and as may be clear from our

briefing, these agreements get to Uniloc's entire patent portfolio, not just the '134 patent that's at issue in this case.

And so there have been a number of cases that Uniloc has filed against Apple and many others out in California. The decision that you have seen that was provided to the Court in conjunction with a motion to stay was one of the decisions that Judge Alsop came to on this, on this issue.

There was a subsequent motion for reconsideration by Apple, and as a result of that, Judge Alsop agreed to potentially hear the issue again at the final pretrial and in term to allow continued discovery on the issue of constitutional standing that case my understanding is now stayed pending IPR.

There was another case that was up on appeal already based on a different, a different issue, and on that appeal to the Federal Circuit, the issue of standing was raised as a, in the first instance in that case. The Federal Circuit determined that more discovery was needed on that issue. It wasn't going to decide the issue and so it remanded back again to Judge Alsop for discovery on that issue. And that, my understanding is that is the discovery that is ongoing right now.

THE COURT: Did you all cite that case? I don't

1 recall that case in the papers. 2 MS. SNYDER: That was, that was much later. Ι 3 don't know the date offhand of the --4 THE COURT: Do you have a cite? Do you have a 5 cite for that? 6 MS. SNYDER: Let me see if we can grab that 7 for you. Obviously, I am also happy to submit it to the Court. 8 9 THE COURT: All right. Why don't we do that. 10 You can get me that later in the argument or you could send 11 it in by letter. 12 Is there anything else you want to bring to my 13 attention? 14 MS. SNYDER: I'm just happy to answer any 15 further questions that you have. 16 THE COURT: All right. Let hear from plaintiff. 17 MR. FOSTER: Thank you, Your Honor. 18 James Foster arguing for Uniloc. 19 And unless the Court directs me otherwise, I 20 would like to begin by talking about the motion to dismiss 21 for lack of subject matter jurisdiction. 22 I think the most efficient thing to do and to 23 bring the issue to a head is point out that this motion was briefed, completed briefing in January of 2019, and four 24 25 months later, the Federal Circuit in the Lone Star case

upended the law of jurisdiction and standing in patent cases.

In the Lone Star case that has been submitted to the Court, both sides submitted letter briefs on it, the Federal Circuit pointed out that a 2014 decision of the Supreme Court in Lexmark is inconsistent -- I'm sorry, the Federal Circuit law or cases on standing and jurisdiction are irreconcilable with the Lexmark case.

And the problem with the Federal Circuit case is prior to that date, according to, I think it was Judge McNally, was that the Federal Circuit decisions had mistakenly treated as jurisdictional the question of whether a party possesses all substantial rights. That going forward, that is not a jurisdictional question. If that issue comes up in a case, it will be resolved. The Court has jurisdiction to resolve that, including by adding parties to the action.

As a result of the Lone Star case, the only issue before the Court now on this motion to dismiss, the only legal issue, is whether the legacy entities, which were Uniloc Luxembourg and Uniloc USA, had constitutional standing when they filed the suit and the issue of constitutional standing is not unique to patent law. The way the Supreme Court has articulated it, the plaintiff must show that it suffers an injury, which can be fairly traced

to the defendant, and is likely redressed by a federal judgment, a fairly low bar.

In this particular case, and we pointed this out in the letters to the Court, including the one we submitted as document 86. We quoted specific language directly from the Lone Star case giving examples of what would satisfy the constitutional standing check.

One example is if the plaintiff has the ability to grant licenses or forgive infringement, or if the plaintiff has the ability to collect royalties, that is sufficient to meet a constitutional test. And in our submission at document 86, we pointed out that even Motorola concedes that Uniloc had that right.

as a factual matter and it's contested, Judge Alsop has ruled the other way, is that a opponents had a nonexclusive right to sublicense. That is the only right at most that the legacy plaintiff did not have in this patent, the only right. And under the precise language we have quoted from the Lone Star case in document 86, that satisfies the constitutional standing so the case can move forward.

If this Court were to agree with what I've just said, then that is the end of the matter. You do not have to address the factual issues, but the Court did point out and to my colleague that the factual issues were addressed

by Judge Alsop in California. He accepted as true the declaration submitted by Fortress and that there had been a cure to Fortress' satisfaction of any defects or the thought that that might have happened.

If this Court does not want to take Judge
Alsop's word for it, then there would still be a factual
question which would have to be resolved at some point
during the length of this case, but I don't think you need
to reach the factual issues because I think the Lone Star
case is dispositive.

If for any reason this Court does not want to recognize Lone Star, and what I tell my younger colleagues here is that what Lone Star means, when a case says that our jurisdiction to date has been irreconcilable with a Supreme Court decision, what that means is that every single decision earlier than that date that was throughout a case for jurisdictional reasons must be re-examined. It's no longer authoritative on the issue. It just upsets things.

So if the Court does not want to accept
my reading of Lone Star, we did argue in the briefing,
which was submitted before Lone Star, that the prior case
law would support jurisdiction. There are a number of
cases cited. The only two I will mention in oral argument
is the Aspects case in which the Federal Circuit three times

in its opinion said that the plaintiff had given away virtually unfettered right to sublicense to a third party, yet the plaintiff still had standing to assert the patent.

The other case, which had been very important in the law of standing, jurisdiction, was the Mann case. There was the case from the Federal Circuit, Morrow versus

Microsoft, I remember very well in my practice, which they held the plaintiff -- it was a bankruptcy situation. They held the plaintiff didn't have standing, and the buzz in the profession after that was, wait a minute. If that's true, you can push that argument and you can have a situation where nobody has standing to a certain a patent. That cant be right. And Your Honor had the same concern in questions to my colleague.

So the profession kind of lost that, and then the Mann case came down the pike. And if you read the language in Mann, and we quoted it liberally in our briefing back in January. The same situation, it's setting up a default rule. You start where one entity has what we call Category 1 standing and that entity continues to have it until it transfers it completely to a different entity, so there's always an entity that has that standing. Again, we explained that in our briefing. But I think given Lone Star, the Court does not even have to get into that.

That's all I think I need to say with respect to

the motion to dismiss unless the Court has questions.

THE COURT: Give me one second. All right. So

I'm trying to figure out this Lone Star argument you're

making. So Lone Star is talking about statutory rights.

Correct?

MR. FOSTER: Well, actually, Lone Star makes the distinction between statutory and the constitutional and then talks about both on the facts of that case.

THE COURT: Right. So I'm trying to figure out the relevance of Lone Star here. The argument here is that you don't have constitutional standing.

MR. FOSTER: Yes, that's true, and what Lone Star does is give examples of what -- Lone Star does two things of relevance, Your Honor.

First of all, it states that the question of whether an entity has also central rights in the patent at the --

THE COURT: Okay. Let me just ask you. I didn't think that was relevant. I mean, I thought all substantial rights, why is that relevant to the inquiry before me?

MR. FOSTER: Well, because the argument that Motorola is making is based upon cases which dealt with a question of whether the plaintiff suing had all substantial rights.

THE COURT: I didn't think they were doing that.

I thought they were making their argument based on

exclusionary rights. Basically, whether it's an exclusive

licensee.

MR. FOSTER: Yes. Okay.

THE COURT: I thought that is different. I thought substantial rights applied. That language I thought was used in the context of whether there was an assignment of the patent. Am I wrong?

MR. FOSTER: In the old cases, that frequently comes up in assignment cases. You're right.

THE COURT: Can you point me to a case where it says I'm supposed to look to determine whether there was an exclusive license, whether there was a transfer of substantial rights, of substantially all of the rights?

MR. FOSTER: Well, most of the Federal Circuit cases before Lone Star, that was the issue being kicked around. The Mann case is one good example.

THE COURT: Wait, wait. Well, hold on. You are telling me Mann. I don't have Mann in front of me. Let's start with the ones that I do remember. Rite-Hite, WiAV, Textile Productions. Do any of those cases discuss the determination of an exclusive licensee based on an assessment of whether there has been a transfer of

substantially all the rights of the patent?

MR. FOSTER: Well, I'm not sure it's pronounced WiAV. The case, WiAV was the case where the plaintiff was a Category 2, a Category 2 --

THE COURT: Do me a favor. Don't go to

Category 2. Let's stick with, you know, who knows what

they're defining that as. I think it is pretty clear.

I mean, let's start with the issue here. Right. You agree it has nothing to do with an assignment. It has to do with whether we've got an exclusive licensee. Is that correct?

MR. FOSTER: No one is arguing in this case,
Your Honor, that --

THE COURT: I meant exclusionary rights, whether there are exclusionary rights.

MR. FOSTER: So the question, if I understand the argument as Motorola would phrase it given Lone Star Silicon, is that they are claiming the plaintiff or Uniloc does not have "exclusionary rights," which is language that Lone Star uses in defining constitutional standing.

The problem with their position is that an exclusionary right is not limited to the right to exclude, but the Lone Star opinion gives other examples which they fit under that umbrella, including the fact the plaintiffs have a right themselves to a license. Those are called

exclusionary rights under the language of Lone Star. If the plaintiffs themselves have the right to indulge infringement, those are also exclusionary rights as the Federal Circuit in Lone Star uses the term, or if the plaintiff has the right to collect royalties, those are exclusionary rights under the language of the Lone Star case, which we cite in document 86. We submitted it to the Court.

I think some of the confusion arises from trying to use the word exclusion narrowly to mean that they must have the right in all situations to bar the defendant from practicing the patent. That is not the way Lone Star uses the case, and in our briefing back in January, we cited at least four Federal Circuit cases where the plaintiff was held to have standing even though a nonexclusive license had been issued to others, including the Aspects case where three times the Federal Circuit said it was an unfettered right that had been given to a third party to license the patent.

And --

THE COURT: Okay.

MR. FOSTER: I don't know if I've adequately addressed your questions to Lone Star. If not, please, follow up.

THE COURT: All right. Anything else?

1 MR. FOSTER: Yes. If Your Honor would like, I 2 would address the motion to add Uniloc 2017 as a party. 3 THE COURT: Sure. MR. SNYDER: 25(c), if you will. As we said 4 5 in our papers, the entire patent portfolio has transferred from a from the legacy plaintiffs to Uniloc 2017. Since 6 7 that day, we have been methodically replacing in all the 8 cases, replacing the legacy plaintiffs with Uniloc 2017 9 as the plaintiff. Sometimes we're successful in it. 10 Sometimes the Court simply adds rather than substitutes, but no Court has denied that request and the Federal Circuit 11 12 has granted it as well. So it's standard practice under 13 Rule 26(c). 14 In their response, Motorola's argument is, wait 15 There's a standing problem here, and they point a minute. 16 to their motion to dismiss. And our response to that is, if 17 there is a standing issue to be discussed, Uniloc 2017 could 18 certainly be added to the case so they would have a voice in 19 arguing the motion to dismiss. 20 THE COURT: Okay. 21 MR. FOSTER: That's all I have, Your Honor. 22 THE COURT: How do you cure a default by not 23 doing anything? 24 MR. FOSTER: Well, are we talking now about

that -- well, the way the contractual language reads, it

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says it has to be cured to Fortress' satisfaction.

THE COURT: Actually, I think it says to its reasonable satisfaction. Right?

MR. FOSTER: Reasonable satisfaction.

THE COURT: Right. And I assume that language is there to protect Uniloc, right, so that Fortress can't be unreasonable. No?

MR. FOSTER: I completely agree with that. The reason why it's not coming up in this case is that Fortress and Uniloc are on the same page and not disagreeing about it at all.

THE COURT: Okay. But still, you've got to cure it. I mean, we'll deal with that to their reasonable satisfaction. Let's deal first with the, you've got to cure it. So how do you cure something by not doing anything?

MR. FOSTER: So the way I view it and the way Judge Alsop viewed it, Your Honor, was that if the party holding the right to do something, that's I guess Fortress here, it says, look, this is really going great. You know those monetary things, forget about it. We're satisfied with the way things are going and, in fact, that's what Palmer says in a declaration. We were very happy with the way things were going.

At that point there's really nothing that Uniloc

would have to do because the party holding the rights says, hey, we're as happy as a pig and whatever and nothing needs to be done.

If Your Honor is suggesting that Uniloc has to do something when the party says, no, you don't have to do anything, again, I don't agree and neither did Judge Alsop.

THE COURT: So you agree that nothing was done. You are just saying that Fortress seems okay with that?

MR. FOSTER: So to be very precise so we have the facts in front of us, the default that is alleged here is that there were monetary targets that weren't met, and let's assume for purposes of the motion that there was a shortfall. I don't know what, you know, it is what it is. If the money doesn't come in by a certain date, there's no way that that fact can be changed.

At that point, Fortress has the right I suppose under the contract to take certain procedural steps to implement an event of default and they chose not to do it. So nothing was done, you're right, other than Fortress saying you don't have to do anything.

THE COURT: Right. But the way this works, the way the contract was drafted, Fortress was given a license upfront and then so Fortress has the license. The only

question is, right, whether they can use it. And the contract says, you agree they can't use it until there has been an event of default?

MR. FOSTER: Correct.

THE COURT: Okay. So that means once there's an event of default, they can use the license. Right?

MR. FOSTER: Once there's an event of default, they can use it.

THE COURT: Right.

MR. FOSTER: Again, you've got a default doctrine. If the default is cured to Fortress' reasonable satisfaction --

THE COURT: Right.

MR. FOSTER: -- it is the intent of the parties that they cannot sublicense.

THE COURT: I don't know. I think, you know, you've got that unambiguous contract. I've got to give meaning to the word cure. What does cure mean?

MR. FOSTER: Well, again, it depends on the particular default that's being dealt with. Some defaults might not be true by the nature of their -- in this particular case, if you are talking about a contract that has to be met by certain date and that date passes and it hasn't been met because the money hasn't come in. I think it either has to be waived or they find that Fortress, who

has rights, can say, look, we're satisfied with the way things are. We're not going to put you into default. That makes sense to me, but that's how I would argue it. I mean, it's there for a purpose, and the purpose has been served. You have to give some credit to the purpose that the parties have entered into an agreement to accomplish.

THE COURT: What was the purpose that they were trying to accomplish?

MR. FOSTER: My understanding based upon common sense as well as the testimony of the parties was at the time the first agreement was entered into, which was 2014, there had been no prior history or dealings between Uniloc or Fortress. It happened that the agreement, the entity giving out the money puts various things in the contract so that as things go south, they can protect their interests. And the provisions, they're in there to provide that kind of protection if the party lending the money elects to use it.

In this particular case, there were targets, regular targets which were more than aspirational and they were not met. Fortress, I suppose, if that were important to them, could have taken certain procedural steps to cause the event of default and then take whatever steps they wanted to take to protect their interests. But because things were going well financially and they were happy, they

thought they didn't need to use those steps. And that was, that was the way the deal was set up and by the time two or three years later, and, again, things were going swimmingly. They kept amending the agreement and lending more money to finance this litigation.

THE COURT: And does Fortress have a stake in this litigation?

MR. FOSTER: Let me answer that question encyclopedically so that I'm not leaving anything out.

In 2018, the entire patent portfolio, including a patent here, was transferred to Uniloc 2017. Uniloc 2017 is owned 98 percent by a separate entity called Significant Loan Holdings. They are very wealthy investors who pool their money. It is an investment pool. They pool their money and they asked the Fortress entity to manage the investments of the pool.

So the Fortress parent entity has a number of pools of that sort and they manage the investment pool, but the investment pool owns Uniloc -- the investment pool owns Uniloc 2017 or 98 percent of it.

So they don't have a direct interest, like a stockholder doesn't have a direct interest in whether a corporation was good or not, but they are financially interested in it to the extent that the better of that, the result in this case, the better position Uniloc 2017 will be

in and the better will be -- the owners of Uniloc 2017 will be in better financial state. So they have that kind of business interest in the outcome of the case, yes.

THE COURT: So does Fortress -- I guess I'm still not understanding exactly. My question is essentially, if there were an award of damages in this case, does Fortress get a share of it?

MR. FOSTER: No, no. If there's a windfall at the end of the case, the money goes to -- eventually it goes to Uniloc 2017, and that will end up in the pocket, I would assume, in the ordinary course to the investors and to Uniloc Holdings along with Uniloc 2017.

Fortress gets a -- I'm going beyond my strict knowledge here, but I'm assuming Fortress by its business model is paid a certain percentage of something to manage the investment pool.

Now, if you are asking me is what they will get directly affected by the judgment in this case, I have to be honest and say I don't know, but I would think that the better the performance of the investment pool, the better Fortress will end up in the long run. So I'm not going to claim that they are all disinterested, but I suspect it's not a direct financial link.

THE COURT: Anything else?

MS. SNYDER: No, Your Honor. I think we pretty

much covered it.

THE COURT: All right. And then anything from the defense?

MS. SNYDER: Yes, Your Honor. A couple of things we'd like to touch on.

Lone Star, it sounds like we are in line with your Honor's viewing of Lone Star, that it that it is not applicable to this case, that the analysis there is about statutory or prudential standing as it's called. It does not look at this question of injury in fact, especially not from a, not from a vein that is applicable to the facts of our case here.

Similarly, our read of Aspects is the Aspects case that counsel was citing, that that is also directed to statutory standing and it's not looking at the injury in fact issue. In fact, it involves an assignee transfer to a licensee with a right to sublicense. There's not a third party either. There was not a question of constitutional standing in the same way.

With respect to the cure versus waiver issue, again, it sounds like we are in line with your Honor's read goes. Just one or two things to point out is counsel was referring to "certain procedural steps to implement in event of default." Our reading of the patent license and the revenue sharing agreement is that there are no procedural

steps that had to be taken before for Fortress to implement in the event of default. An event of default happened. It happened when it happened. When the -- for instance, when the revenue minimum was not met, there was an event of default period. There were no steps that needed to be taken beyond that to implement an event of default.

THE COURT: Well, and that makes sense to me. I mean, Section 2.8 is pretty clear on that it seems. They had the license.

MS. SNYDER: Right.

THE COURT: And then all it says is, they don't use it following an event of default. That means if there's an event of default, once it occurs, they can use it. Even if it's cured, it seems a couple days later they have the ability to use it for at least those couple of days, I think.

MS. SNYDER: Right. That is my understanding. I agree that it creates the -- I won't say it creates. It removes the obstacle that was Fortress agreeing not to license its sublicense rights, and so the fact that Fortress, whether this did or did not sort of shrug their shoulder and say we're okay with it, is not a cure, and certainly is not a cure under either New York law or under the terms, the express terms of the agreement.

THE COURT: All right. Anything else?

MR. FOSTER: Your Honor, I have something unless you -- I just want to point out to Your Honor that in the Lone Star case, 925 F3d, from pages 1234 through 1236 is completely devoted to analyzing whether Lone Star at Article 3 has constitutional standing.

So it's not correct, as my colleague just said, that it was strictly a jurisdictional standing case. The Federal Circuit explicitly examined Article 3 standing of Lone Star and, in fact, compared to Lodestar, Uniloc had even more rights here.

THE COURT: Right, but Lone Star is discussing Article 3 standing and then it says, okay. Although Lone Star cleared its constitutional threshold, the District Court concluded that it lacked standing to proceed without A&D, and then it proceeds to discuss statutory standing and talks about how the Supreme Court recently clarified that so-called statutory standing defects do not implicate a Court's subject matter jurisdiction. And then it starts quoting from that -- that's where it's quoting -- wait a second. Sorry. I've got the wrong page here. The Lexmark International case. Right?

MS. SNYDER: Right.

THE COURT: And at that point it's saying that

Lexmark is irreconcilable. At that point Judge O'Malley, I

think that's the author of this opinion, she has already

moved beyond constitutional standing. Now she has moved into statutory standing and that's where she begins to discuss Lexmark.

MR. FOSTER: You are certainly right. That is the order it happened, but since you have a case in front of you, Your Honor, if you look at on page 1234 under Subheading B, she begins by finding that Lone Star does have constitutional standing.

THE COURT: All right. I agree, and I agree that that section as Section B as titled, Article 3 has standing. I agree with that.

MR. FOSTER: All I would ask Your Honor to do is compare the rights that Lone Star had and which caused the Federal Circuit to say, hey, this is constitutional, with the rights that Uniloc had.

Uniloc had every single right that anybody had in the past with the possible exception that if given a nonexclusive right to sublicense to Fortress and compare that of what you read here for Lone Star, and the Court can do that at its leisure3.

THE COURT: So let me ask you both. I mean, what about the end of Judge Alsop's opinion? You know, he said he suspects that Uniloc's manipulations in allocating rights to the patents in suit to various Uniloc possibly shell entities is perhaps designed to insulate Uniloc

Luxembourg and that he's going to keep them in the caption he says for the purpose of any sanction award. I'm trying to figure out the extent to which that ought to factor into my consideration for the pending motion.

Mr. FOSTER: I'm happy to address that. Judge Alsop and I were actually law school classmates way back then.

There's nothing in the record before the Court that would suggest any ulterior motive or regarding anything by the Uniloc entity. We have no problem at all with keeping the legacy in the case. If anyone wants to move for a sanction and say that they're liable for sanctions, we have a problem with that.

So if Your Honor wants to simply add Uniloc 2017 as close to substituting them, that's fine. That happens about half the time in response to these motions.

But, again, I've been in this business a long time. Sometimes judges say things and you say where did that come from? Fine. Thee is nothing in the record in this case or in the record before Judge Alsop that indicates any impropriety on behalf of any Uniloc entities.

THE COURT: All right. Okay. Well, thank you for the argument, and I'm going to turn to this and I've got some cases to read and we'll get to it hopefully pretty quick.

1 All right? Anything else? MS. SNYDER: Your Honor, you had asked for a 2 3 cite and I believe I have it. 4 THE COURT: Okav. 5 MS. SNYDER: Are you ready for it? THE COURT: Yes, please. 6 7 MS. SNYDER: Sure. It was the Federal Circuit decision in 2018, 2094 decided August 30th, 2019. 8 9 THE COURT: Actually, I want you to hold on one 10 I want to go back to a question I put to the second. 11 defendant at the outset or early on. 12 So it's your position that if I agree with you 13 that there was an event of default and I agree with you that 14 therefore Fortress had a license and I agree with you that therefore Uniloc lacked standing, nobody could carry forward 15 16 as the plaintiff in this suit. Is that right? 17 MS. SNYDER: No one could have filed suit in November of 2017. 18 19 THE COURT: Right. So what would happen? 20 so let's say, okay. So then the remedy is to dismiss, and 21 then what? 22 MS. SNYDER: Assuming that they have resolved 23 the issue, then they could refile tomorrow. They could have refiled two years ago under whoever, whatever entity holds 24 25 constitutional standing.

1	THE COURT: Okay.
2	MS. SNYDER: Now, they couldn't you know,
3	there would be no look back, right, to when this case was
4	filed.
5	THE COURT: So they would have lost any damages
6	that preceded the original filing of the lawsuit?
7	MS. SNYDER: Correct.
8	THE COURT: All right. Okay. Thanks very much.
9	Have a good day, everybody.
LO	MS. SNYDER: Thank you, Your Honor.
L1	(Telephone conference concluded at 4:57 p.m.)
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